

SPECIFICATION

TO ALL WHOM IT MAY CONCERN:

BE IT KNOWN THAT I, HIROYOSHI FUNATO, a citizen of Japan residing at Kanagawa, Japan have invented certain new and useful improvements in

OPTICAL PICKUP APPARATUS HAVING IMPROVED HOLOGRAPHIC  
OPTICAL ELEMENT AND PHOTODETECTOR

of which the following is a specification:-

1     BACKGROUND OF THE INVENTION

          (1) Field of the Invention

          The present invention relates to an optical  
pickup apparatus which has a holographic optical element  
5     and a photodetector which are provided in common for light  
beams with different wavelengths used to access different  
types of optical storage media.

          (2) Description of the Related Art

          Currently, various types of rewritable  
10     optical disk drive are known, for example, a write-once  
optical disk drive which accesses a CD (compact disk  
recordable) and a rewritable optical disk drive which  
accesses a DVD (digital video disk). An optical pickup  
device of the write-once optical disk drive reads data  
15     from the CD, and can write data to the CD once only. An  
optical pickup device of the rewritable optical disk drive  
reads data from the DVD, and can write or erase data to  
the DVD many times.

          Generally, a standard DVD has the recording  
20     surface under a transparent substrate which is about 0.6  
mm thick, and a standard CD has the recording surface  
under a transparent substrate which is about 1.2 mm thick.  
In a DVD pickup device, a laser diode which emits a laser  
beam having a wavelength of 650 nm is used as the light  
25     source to access the DVD. In a CD pickup device, a laser

1 diode which emits a laser beam having a wavelength of 785  
nm is used as the light source to access the CD.

As disclosed in Japanese Utility Model  
Publication No.7-3461, an optical pickup apparatus for  
5 recording or reproducing of information of one of a first  
optical disk and a second optical disk in a shared manner  
is known. As described above, the first and second  
optical disks have the transparent substrates which are  
different in thickness.

10 In the optical pickup apparatus of the  
above publication, first and second laser sources  
selectively emit one of first and second laser beams, the  
first and second laser beams being different in  
wavelength, the wavelengths of the first and second laser  
15 beams being appropriate for accessing the first and second  
optical disks respectively. A reflection-beam separator  
which is configured with a prism of a certain type  
receives a reflection beam of a light spot from one of the  
first and second optical disks which is actually  
20 illuminated, and directs the reflection beam in one of  
predetermined directions depending on the wavelength of  
the reflection beam.

Further, in the optical pickup apparatus of  
the above publication, a first photodetector is provided  
25 to receive the reflection beam (having the wavelength of

1 the first laser beam) from the reflection-beam separator,  
and to output a signal indicative of an intensity of the  
received reflection beam. A second photodetector which is  
provided separately from the first photodetector receives  
5 the reflection beam (having the wavelength of the second  
laser beam) from the reflection-beam separator, and  
outputs a signal indicative of an intensity of the  
received reflection beam.

In the optical pickup apparatus of the  
10 above publication, a focusing error signal and a tracking  
error signal can be generated based on the signal output  
by a corresponding one of the first and second  
photodetectors. Hence, the recording or reproducing of  
information of one of the first optical disk and the  
15 second optical disk can be achieved by the optical pickup  
apparatus of the above publication.

However, the optical pickup apparatus of  
the above publication must be configured with the first  
and second photodetectors which are provided independently  
20 of each other. The configuration of this apparatus is  
comparatively complicated, and it is necessary to provide  
a separate signal detection circuit for each of the first  
and second photodetectors. This makes the conventional  
optical pickup apparatus bulky and expensive, and it is  
25 difficult to achieve the manufacture of a small-size

1     optical pickup apparatus with low cost.

SUMMARY OF THE INVENTION

5     An object of the present invention is to provide an improved optical pickup apparatus in which the above-described problems are eliminated.

Another object of the present invention is to provide an optical pickup apparatus which is configured in a simple structure including the reflection-beam  
10   separator and the photodetector, in order to enable the manufacture of an inexpensive, small-size optical pickup apparatus.

Still another object of the present invention is to provide an optical pickup apparatus which  
15   is configured with an inexpensive, thin-film reflection-beam separator to direct the reflection beam in one of predetermined directions depending on the wavelength of the reflection beam, in order to enable the manufacture of an inexpensive, small-size optical pickup apparatus.

20   The above-mentioned objects of the present invention are achieved by an optical pickup apparatus for recording or reproducing of information of one of a first optical disk and a second optical disk in a shared manner, the first and second optical disks having transparent  
25   substrates different in thickness, the optical pickup

1     apparatus including: first and second light sources which  
selectively emit one of first and second light beams, the  
first and second light beams being different in  
wavelength, the wavelengths of the first and second light  
5     beams being appropriate for accessing the first and second  
optical disks respectively; a coupling lens which converts  
a corresponding one of the first and second light beams  
from the first and second light sources into a collimated  
beam; an objective lens forming a light spot on a  
10    corresponding one of the first and second optical disks by  
focusing the collimated beam; a holographic optical  
element which receives a reflection beam of the light spot  
from one of the first and second optical disks and  
provides holographic effects on the reflection beam so as  
15    to diffract the reflection beam in predetermined  
diffracting directions depending on the wavelength of the  
reflection beam; and a photodetector which receives the  
reflection beam from the holographic optical element at  
light receiving areas of the photodetector and outputs  
20    signals indicative of respective intensities of the  
received reflection beam at the light receiving areas, so  
that a focusing error signal and a tracking error signal  
are generated based on the signals output by the  
photodetector.

25                   The above-mentioned objects of the present

1 invention are achieved by an optical pickup apparatus for  
recording or reproducing of information of one of a first  
optical disk and a second optical disk in a shared manner,  
the first and second optical disks having transparent  
5 substrates different in thickness, the optical pickup  
apparatus including: first and second light sources which  
selectively emit one of first and second light beams, the  
first and second light beams being different in  
wavelength, the wavelengths of the first and second light  
10 beams being appropriate for accessing the first and second  
optical disks respectively; a coupling lens which converts  
a corresponding one of the first and second light beams  
from the first and second light sources into a collimated  
beam; an objective lens forming a light spot on a  
15 corresponding one of the first and second optical disks by  
focusing the collimated beam; a holographic optical  
element which receives a reflection beam of the light spot  
from one of the first and second optical disks and  
provides holographic effects on the reflection beam so as  
20 to diffract the reflection beam in predetermined  
diffracting directions depending on the wavelength of the  
reflection beam; and a photodetector which receives the  
reflection beam from the holographic optical element at  
light receiving areas of the photodetector and outputs  
25 signals indicative of respective intensities of the

1 received reflection beam at the light receiving areas, so  
that a focusing error signal and a tracking error signal  
are generated based on the signals output by the  
photodetector, wherein the optical pickup apparatus has a  
5 common optical path for the first and second light beams,  
and the coupling lens and the objective lens are arranged  
such that both an optical axis of the coupling lens and an  
optical axis of the objective lens are on the common  
optical path, and wherein the holographic optical element  
10 is arranged on the common optical path and configured with  
a polarization hologram and a quarter-wave plate, the  
polarization hologram having diffracting effects depending  
on polarizing directions of the reflection beam, and the  
quarter-wave plate being arranged on the common optical  
15 path such that the quarter-wave plate is placed on an  
optical-disk side of the polarization hologram, and  
wherein the polarization hologram includes: a transparent  
substrate; a birefringence layer of a polymer material  
which is provided on the transparent substrate in a  
20 periodic grating pattern, the birefringence layer having  
different refractive indexes for two orthogonal polarizing  
directions of the reflection beam; and an isotropic  
overcoat layer which is provided to enclose the  
birefringence layer therein, the polarization hologram  
25 diffracting the reflection beam in the predetermined



1     diffracting directions depending on the wavelength of the  
reflection beam.

          A preferred embodiment of the optical  
pickup apparatus of the present invention is configured in  
5     a simple structure including the holographic optical  
element and the single-piece photodetector. The  
holographic optical element receives the reflection beam  
of the light spot from the corresponding one of the first  
and second optical disks and provides the holographic  
10    effects on the reflection beam so as to diffract the  
reflection beam in the predetermined diffracting  
directions depending on the wavelength of the reflection  
beam. The photodetector receives the reflection beam from  
the holographic optical element at light receiving areas  
15    of the photodetector and outputs signals indicative of  
respective intensities of the received reflection beam at  
the light receiving areas, so that a focusing error signal  
and a tracking error signal are generated based on the  
output signals of the photodetector. Therefore, the  
20    optical pickup apparatus of the present invention is  
effective in enabling the manufacture of an inexpensive,  
small-size optical pickup apparatus.

          Another preferred embodiment of the optical  
pickup apparatus of the present invention is configured  
25    with an improved holographic optical element to direct the

1 reflection beam in one of predetermined directions  
depending on the wavelength of the reflection beam. The  
improved holographic optical element is arranged on the  
common optical path and configured with the polarization  
5 hologram and the quarter-wave plate, and the polarization  
hologram includes the birefringence layer of the polymer  
material provided on the transparent substrate in the  
periodic grating pattern, the birefringence layer having  
different refractive indexes for the two orthogonal  
10 polarizing directions of the reflection beam. Hence, the  
improved holographic optical element is an inexpensive,  
small-size reflection-beam separator which diffracts the  
reflection beam in the predetermined diffracting  
directions depending on the wavelength of the reflection  
15 beam. Therefore, the optical pickup apparatus of the  
present invention is effective in enabling the manufacture  
of an inexpensive, small-size optical pickup apparatus.

#### BRIEF DESCRIPTION OF THE DRAWINGS

20 Other objects, features and advantages of  
the present invention will become more apparent from the  
following detailed description when read in conjunction  
with the accompanying drawings in which:

FIG. 1A, FIG. 1B and FIG. 1C are diagrams  
25 of one embodiment of an optical pickup apparatus of the

1 present invention;

FIG. 2A, FIG. 2B and FIG. 2C are diagrams  
of another embodiment of the optical pickup apparatus of  
the present invention;

5 FIG. 3A, FIG. 3B and FIG. 3C are diagrams  
for explaining a holographic optical element and a  
photodetector in the optical pickup apparatus of the  
present invention;

FIG. 4A and FIG. 4B are diagrams for  
10 explaining configuration requirements of the holographic  
optical element and the photodetector in the optical  
pickup apparatus of the present invention;

FIG. 5A and FIG. 5B are diagrams of still  
another embodiment of the optical pickup apparatus of the  
15 present invention;

FIG. 6 is a diagram of a further embodiment  
of the optical pickup apparatus of the present invention;

FIG. 7A and FIG. 7B are diagrams showing  
examples of a common package in the optical pickup  
20 apparatus of the present invention;

FIG. 8 is a diagram showing another example  
of the common package in the optical pickup apparatus of  
the present invention;

FIG. 9 is a diagram of another embodiment  
25 of the optical pickup apparatus of the present invention;

1               FIG. 10 is a diagram of a further  
embodiment of the optical pickup apparatus of the present  
invention;

5               FIG. 11 is a cross-sectional view of a  
polarization hologram in the optical pickup apparatus of  
the present invention;

FIG. 12 is a diagram for explaining an  
operation of the polarization hologram of FIG. 11;

10              FIG. 13 is a diagram for explaining another  
operation of the polarization hologram of FIG. 11;

FIG. 14 is a cross-sectional view of  
another example of the polarization hologram in the  
optical pickup apparatus of the present invention;

15              FIG. 15 is a diagram showing essential  
parts of the polarization hologram of FIG. 11;

FIG. 16A through FIG. 16F are diagrams for  
explaining a process of production of the polarization  
hologram in the optical pickup apparatus of the present  
invention;

20              FIG. 17 is a cross-sectional view of a  
further example of the polarization hologram in the  
optical pickup apparatus of the present invention;

FIG. 18 is a cross-sectional view of  
another example of the polarization hologram in the  
25   optical pickup apparatus of the present invention;

1           FIG. 19A through FIG. 19H are diagrams for  
explaining another process of production of the  
polarization hologram in the optical pickup apparatus of  
the present invention; and

5           FIG. 20A, FIG. 20B and FIG. 20C are  
diagrams for explaining a process of preparation of a  
polyimide film for a birefringence layer of the  
polarization hologram.

10   DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A description will now be given of the  
preferred embodiments of the present invention with  
reference to the accompanying drawings.

FIG. 1A, FIG. 1B and FIG. 1C show one  
15   embodiment of the optical pickup apparatus of the present  
invention.

In FIG. 1A, reference numeral 7 indicates a  
first optical disk (which is, for example, the CD), and  
reference numeral 8 indicates a second optical disk (which  
20   is, for example, the DVD). In the present embodiment, the  
first optical disk 7 is a CD (compact disk recordable)  
having a recording surface under a transparent substrate  
which is about 1.2 mm thick, while the second optical disk  
8 is a DVD (digital video disk) having a recording surface  
25   under a transparent substrate which is about 0.6 mm thick.

1                In the optical pickup apparatus of FIG. 1A,  
a first light source 1 (for example, a laser diode) emits  
a first laser beam having a first wavelength L1 (= 785 nm)  
which is appropriate for accessing the first optical disk  
5        (the CD) 7. A second light source 2 (for example, a laser  
diode) emits a second laser beam having a second  
wavelength L2 (= 650 nm) which is appropriate for  
accessing the second optical disk (the DVD) 8. As shown  
in FIG. 1A, the optical pickup apparatus has a common  
10        optical path between the light sources 1 and 2 and the  
optical disks 7 and 8, and most elements of the optical  
pickup apparatus are arranged along the common optical  
path. The second light source 2 is arranged on the common  
optical path but the first light source 1 is arranged  
15        laterally from the common optical path.

When the CD 7 is accessed (the recording  
and reproducing of information) by the optical pickup  
apparatus of FIG. 1A, only the first light source 1 is  
turned ON to emit the first laser beam (L1) with the  
20        second light source 2 being turned OFF. A beam splitter 3  
reflects the first laser beam (L1), emitted by the first  
light source 1, to the common optical path of the optical  
pickup apparatus. A dichroic mirror is provided in the  
beam splitter 3, and the dichroic mirror provides a  
25        reflection function for the first laser beam (L1) and a

1 transmission function for the second laser beam (L2). In  
this case, the beam splitter 3 acts to reflect the first  
laser beam (L1) from the first light source 1 to a  
coupling lens 4. The coupling lens 4 converts the  
5 reflected first laser beam (L1) into a collimated beam  
passing through the coupling lens 4. In the present  
embodiment, the coupling lens 4 provides such a  
collimating effect on the incident laser beam. The  
coupling lens 4 may provide a profile correcting effect on  
10 the incident laser beam, in addition to the collimating  
effect.

The collimated beam (L1) from the coupling  
lens 4 enters a prism-type beam splitter 5, and the beam  
splitter 5 allows the collimated beam to pass through the  
15 beam splitter 5 to an objective lens 6. The objective  
lens 6 converts the collimated beam (L1) into a converging  
beam. The converging beam (L1) from the objective lens 6  
passes through the transparent substrate of the first  
optical disk 7, and it forms a light spot on the recording  
20 surface of the first optical disk 7 by the focusing  
function of the objective lens 6. A reflection beam of  
the light spot from the first optical disk 7 passes  
through the objective lens 6, and the beam splitter 5  
directs the reflection beam (L1) to a holographic optical  
25 element (HOE) 9 away from the common optical path. The

1     HOE 9 provides an astigmatic effect and a diffracting  
effect on the lateral reflection beam (L1) from the beam  
splitter 5. These effects of the HOE 9 will be called the  
holographic effects. The reflection beam (L1) passing  
5     through the HOE 9 is diffracted and enters a photodetector  
10. The photodetector 10 receives the reflection beam  
from the HOE 9 at light receiving areas of the  
photodetector 10, and outputs signals indicative of  
respective intensities of the received reflection beam at  
10    the light receiving areas, so that a focusing error signal  
and a tracking error signal are generated based on the  
signals output by the photodetector 10.

Further, when the DVD 8 is accessed (the  
recording or reproducing of information) by the optical  
15    pickup device of FIG. 1A, only the second light source 2  
is turned ON to emit the second laser beam (L2) with the  
first light source 1 being turned OFF. The beam splitter  
3 allows the second laser beam (L2) from the second light  
source 2 to pass through the beam splitter 3 along the  
20    common optical path. The second laser beam (L2) enters  
the coupling lens 4. The coupling lens 4 converts the  
second laser beam (L2) into a collimated beam. The  
collimated beam (L2) from the coupling lens 4 enters the  
beam splitter 5, and the beam splitter 5 allows the  
25    collimated beam to pass through the beam splitter 5 to the



1 objective lens 6. The objective lens 6 converts the  
collimated beam (L2) into a converging beam. The  
converging beam (L2) from the objective lens 6 passes  
through the transparent substrate of the second optical  
5 disk 8, and it forms a light spot on the recording surface  
of the DVD 8 by the focusing function of the objective  
lens 6. A reflection beam of the light spot from the  
second optical disk 8 passes through the objective lens 6,  
and the beam splitter 5 directs the reflection beam (L2)  
10 to the holographic optical element (HOE) 9 away from the  
common optical path. The HOE 9 provides the holographic  
effects on the lateral reflection beam from the beam  
splitter 5 so as to diffract the reflection beam in  
diffracting directions depending on the wavelength (L2) of  
15 the received reflection beam. The reflection beam passing  
through the HOE 9 is diffracted and enters the  
photodetector 10. The photodetector 10 receives the  
reflection beam from the HOE 9 at separate light receiving  
areas of the photodetector 10, and outputs signals  
20 indicative of respective intensities of the received  
reflection beams at the light receiving areas, so that a  
focusing error signal and a tracking error signal are  
generated based on the signals output by the photodetector  
10.

25 In the optical pickup apparatus of FIG. 1A,

1 the coupling lens 4 and the objective lens 6 function as  
an optical focusing device for the first and second laser  
beams emitted by the first and second light sources 1 and  
2. The coupling lens 4 and the objective lens 6 are  
5 configured by taking account of the difference between the  
laser beam wavelengths  $L_1$  and  $L_2$  as well as of the  
difference between the substrate thicknesses of the  
optical disks 7 and 8, such that an appropriate light spot  
is formed on each of the recording surfaces of the first  
10 and second optical disks 7 and 8 by the focusing effect of  
the coupling lens 4 and the objective lens 6. The  
objective lens 6 is a single element which is provided in  
common for the first and second laser beams ( $L_1$  and  $L_2$ )  
emitted by the first and second light sources 1 and 2.

15 The beam splitter 3 functions as a beam  
collector for the first and second laser beams emitted by  
the first and second light sources 1 and 2. The beam  
splitter 3 is arranged on the common optical path so as to  
allow the first and second laser beams of the first and  
20 second light sources 1 and 2 to be collected to the  
coupling lens 4 along the common optical path.

In the optical pickup apparatus of FIG. 1A,  
the coupling lens 4 and the objective lens 6 are arranged  
on the common optical path such that both an optical axis  
25 of the coupling lens 4 and an optical axis of the

1     objective lens 6 accord with the common optical path for  
the first and second laser beams.

FIG. 1B shows a configuration of the  
photodetector 10 in the optical pickup apparatus of FIG.

5     1A. As shown in FIG. 1B, the photodetector 10 includes a  
set of first light receiving areas (A, B, C, D) and a set  
of second light receiving areas (E, F, G, H) which are  
separately provided for the first and second laser beams  
having the different wavelengths L1 and L2. The  
10    photodetector 10 includes four first output pins connected  
to the first light receiving areas (A, B, C, D), four  
second output pins connected to the second light receiving  
areas (E, F, G, H), a grounding pin, and an extra output  
pin. The total number of the pins of the photodetector 10  
15    in the present embodiment is 10.

The photodetector 10 receives the  
reflection beam (L1) from the HOE 9 at the first light  
receiving areas (A, B, C, D), and outputs signals (SA, SB,  
SC, SD), indicative of respective intensities of the  
20    received reflection beam at the light receiving areas (A,  
B, C, D), from the first output pins to a control unit  
(not shown) of the optical pickup apparatus. The  
photodetector 10 receives the reflection beam (L2) from  
the HOE 9 at the second light receiving areas (E, F, G,  
25    H), and outputs signals (SE, SF, SG, SH), indicative of

1     respective intensities of the received reflection beam at  
the light receiving areas (E, F, G, H), from the second  
output pins to the control unit of the optical pickup  
apparatus.

5             FIG. 1C shows a configuration of the  
holographic optical element (HOE) 9 in the optical pickup  
apparatus of FIG. 1A. As shown in FIG. 1C, the HOE 9 is  
configured with a first hologram H1 and a second hologram  
H2 which are alternately arrayed in a parallel formation.  
10    The HOE 9 is configured such that the reflection beam is  
diffracted at the first hologram H1 to only the first  
light receiving areas (A, B, C, D) of the photodetector 10  
when the reflection beam has the wavelength L1 of the  
first laser beam, and the reflection beam is diffracted at  
15    the second hologram H2 to only the second light receiving  
areas (E, F, G, H) of the photodetector 10 when the  
reflection beam has the wavelength L2 of the second laser  
beam.

As described above, when the reflection  
20    beam (L1) from the HOE 9 is received at the first light  
receiving areas (A, B, C, D), the photodetector 10 outputs  
the signals (SA, SB, SC, SD) from the first output pins  
thereof. In the control unit of the optical pickup  
apparatus, a focusing error signal ( $= (SA + SC) - (SB +$   
25    SD)) is generated based on the output signals of the

1 photodetector 10 in accordance with a known astigmatic  
method, and a tracking error signal ( $= (SA + SB) - (SC +$   
SD)) is generated based on the output signals of the  
photodetector 10 in accordance with a known push-pull  
5 method. Also, in the control unit, an information signal  
( $= SA + SB + SC + SD$ ) is generated based on the output  
signals of the photodetector 10. Similarly, when the  
reflection beam (L2) from the HOE 9 is received at the  
second light receiving areas (E, F, G, H), the  
10 photodetector 10 outputs the signals (SE, SF, SG, SH) from  
the second output pins thereof. In the control unit of  
the optical pickup apparatus, a focusing error signal ( $=$   
( $SE + SG - (SF + SH)$ ) is generated based on the output  
signals of the photodetector 10 in accordance with the  
15 astigmatic method, and a tracking error signal ( $= (SE +$   
SF) - (SG + SH)) is generated based on the output signals  
of the photodetector 10 in accordance with the push-pull  
method. Also, in the control unit, an information signal  
( $= SE + SF + SG + SH$ ) is generated based on the output  
20 signals of the photodetector 10.

In the above-described embodiment, the  
optical pickup apparatus is configured in a simple  
structure including the HOE 9 and the single-piece  
photodetector 10. The HOE 9 receives the reflection beam  
25 of the light spot from the corresponding one of the first

1 and second optical disks 7 and 8 and provides the  
holographic effects on the reflection beam so as to  
diffract the reflection beam in the predetermined  
diffracting directions depending on the wavelength ( $L1/L2$ )  
5 of the reflection beam. The photodetector 10 receives the  
reflection beam from the HOE 9 at the light receiving  
areas of the photodetector 10 and outputs the signals  
indicative of respective intensities of the received  
reflection beam at the light receiving areas, so that a  
10 focusing error signal and a tracking error signal are  
generated based on the output signals of the photodetector  
10. As the holographic optical element 9 and the single-  
piece photodetector 10 are inexpensive and have a small  
size, the optical pickup apparatus of the above-described  
15 embodiment is effective in enabling the manufacture of an  
inexpensive, small-size optical pickup apparatus.

FIG. 2A, FIG. 2B and FIG. 2C show another  
embodiment of the optical pickup apparatus of the present  
invention.

20 In FIG. 2A, the elements which are  
essentially the same as corresponding elements in FIG. 1A  
are designated by the same reference numerals, and a  
description thereof will be omitted.

When the first optical disk (the CD) 7 is  
25 accessed by the optical pickup apparatus of FIG. 2A, a

1 reflection beam of a light spot from the first optical  
disk 7 passes through the objective lens 6, and the beam  
splitter 5 directs the reflection beam (L1) to a  
5 holographic optical element (HOE) 9' away from the common  
optical path. The HOE 9' provides an astigmatic effect  
and a diffracting effect on the lateral reflection beam  
(L1) from the beam splitter 5. These effects of the HOE  
9' is called the holographic effects. The reflection beam  
10 (L1) passing through the HOE 9' is diffracted and enters a  
photodetector 10'. The photodetector 10' receives the  
reflection beam from the HOE 9', and outputs signals indicative  
of the photodetector 10', and outputs signals indicative  
15 of respective intensities of the received reflection beam  
at the light receiving areas, so that a focusing error  
signal and a tracking error signal are generated based on  
the signals output by the photodetector 10'.  
Similarly, when the second optical disk  
(the DVD) 8 is accessed by the optical pickup device of  
FIG. 2A, a reflection beam of a light spot from the second  
20 optical disk 8 passes through the objective lens 6, and  
the beam splitter 5 directs the reflection beam (L2) to  
the holographic optical element (HOE) 9' away from the  
common optical path. The HOE 9' provides the holographic  
effects on the lateral reflection beam from the beam  
25 splitter 5 so as to diffract the reflection beam in the

1     diffracting directions depending on the wavelength (L2) of  
the received reflection beam. The reflection beam passing  
through the HOE 9' is diffracted and enters the  
photodetector 10'. The photodetector 10 receives the  
5     reflection beam from the HOE 9' at the light receiving  
areas of the photodetector 10', and outputs signals  
indicative of respective intensities of the received  
reflection beams at the light receiving areas, so that a  
focusing error signal and a tracking error signal are  
10    generated based on the signals output by the photodetector  
10'.

Other features and advantages of the  
optical pickup apparatus of the present embodiment are  
essentially the same as those of the previous embodiment  
15    of FIG. 1A, and a duplicate description will be omitted.

FIG. 2B shows a configuration of the  
photodetector 10' in the optical pickup apparatus of FIG.  
2A. As shown in FIG. 2B, the photodetector 10' includes a  
set of common light receiving areas (A, B, C, D) which is  
20    provided in common for the first and second light beams  
having the different wavelengths (L1 and L2). The  
photodetector 10' includes four output pins connected to  
the common light receiving areas (A, B, C, D), a grounding  
pin, and an extra output pin. The total number of the  
25    pins of the photodetector 10' in the present embodiment is



1     6. The photodetector 10' receives either the reflection  
beam (L1) or the reflection beam (L2) from the HOE 9' at  
the common light receiving areas (A, B, C, D). In either  
case, the photodetector 10' outputs signals (SA, SB, SC,  
5     SD), indicative of respective intensities of the received  
reflection beam at the common light receiving areas (A, B,  
C, D), from the output pins to a control unit (not shown)  
of the optical pickup apparatus.

FIG. 2C shows a configuration of the  
10     holographic optical element (HOE) 9' in the optical pickup  
apparatus of FIG. 2A. As shown in FIG. 2C, the HOE 9' is  
configured with a first hologram H1' and a second hologram  
H2' which are alternately arrayed in a parallel formation.  
The HOE 9' is configured such that the reflection beam is  
15     diffracted at the first hologram H1' to the common light  
receiving areas (A, B, C, D) of the photodetector 10' when  
the reflection beam has the wavelength L1 of the first  
laser beam, and the reflection beam is diffracted at the  
second hologram H2' to the common light receiving areas  
20     (A, B, C, D) of the photodetector 10' when the reflection  
beam has the wavelength L2 of the second laser beam.

When the reflection beam (L1) from the HOE  
9' is received at the common light receiving areas (A, B,  
C, D), the photodetector 10' outputs the signals (SA, SB,  
25     SC, SD) from the output pins thereof to the control unit.

1 In the control unit, a focusing error signal ( $= (SA + SC) - (SB + SD)$ ) is generated based on the output signals of the photodetector 10' in accordance with the astigmatic method, and a tracking error signal ( $= (SA + SB) - (SC +$   
5  $SD)$ ) is generated based on the output signals of the photodetector 10' in accordance with the push-pull method. Also, in the control unit, an information signal ( $= SA + SB + SC + SD$ ) is generated based on the output signals of the photodetector 10'.

10 Similarly, when the reflection beam (L2) from the HOE 9' is received at the common light receiving areas (A, B, C, D), the photodetector 10' outputs the signals (SA, SB, SC, SD) from the output pins thereof to the control unit. In the control unit, a focusing error  
15 signal ( $= (SA + SC) - (SB + SD)$ ) is generated based on the output signals of the photodetector 10' in accordance with the astigmatic method, and a tracking error signal ( $= (SA + SB) - (SC + SD)$ ) is generated based on the output signals of the photodetector 10' in accordance with the  
20 push-pull method. Also, in the control unit, an information signal ( $= SA + SB + SC + SD$ ) is generated based on the output signals of the photodetector 10'.

In the above-described embodiment, the optical pickup apparatus is configured in a simple  
25 structure including the HOE 9' and the single-piece

1     photodetector 10'. The photodetector 10' includes only  
the light receiving areas (A, B, C, D), and it can be  
configured to have a size that is smaller than the size of  
the photodetector 10 of FIG. 1B. As the holographic  
5     optical element 9' and the single-piece photodetector 10'  
can be produced in a small size with low cost, the optical  
pickup apparatus of the above-described embodiment is  
effective in enabling the manufacture of an inexpensive,  
small-size optical pickup apparatus.

10           In the above embodiments of FIG. 1A and  
FIG. 2A, the focusing error signal is generated according  
to the astigmatic method, and the tracking error signal is  
generated according to the push-pull method. However,  
according to the present invention, a suitable combination  
15     of other known methods may be used instead to generate the  
focusing error signal and the tracking error signal. For  
example, in the following embodiment of FIG. 3A through  
FIG. 3C, the focusing error signal is generated according  
to a known knife-edge method, and the tracking error  
20     signal is generated according to the push-pull method. A  
description will now be given of such a variation.

FIG. 3A, FIG. 3B and FIG. 3C show a  
configuration of a holographic optical element (HOE) 9"  
and a photodetector 10" in the optical pickup apparatus of  
25     the present invention.

1                   FIG. 3A shows a configuration of the HOE 9"  
which may be used instead of the HOE 9' in the optical  
pickup apparatus of FIG. 2A. As shown in FIG. 3A, the HOE  
9" is configured with a first hologram H1" and a second  
5   hologram H2" which are alternately arrayed in a parallel  
formation. The HOE 9" is divided into three light  
receiving areas I, II and III, each of which include the  
first hologram H1" and the second hologram H2".

FIG. 3B and FIG. 3C show conditions of  
10   light spots on the photodetector 10" when the reflection  
beam (L1) or the reflection beam (L2) is received from the  
HOE 9". The photodetector 10" may be used instead of the  
photodetector 10' in the optical pickup apparatus of FIG.  
2A. As shown in FIG. 3B and FIG. 3C, the photodetector  
15   10" includes a set of light receiving areas (A', B', C',  
D') which is provided in common for the first and second  
laser beams having the first and second wavelengths L1 and  
L2. The photodetector 10" receives either the reflection  
beam (L1) or the reflection beam (L2) from the HOE 9" at  
20   the light receiving areas (A', B', C', D'). In either  
case, the photodetector 10" outputs signals (SA', SB',  
SC', SD'), indicative of respective intensities of the  
received reflection beam at the light receiving areas (A',  
B', C', D'), to the control unit (not shown) of the  
25   optical pickup apparatus.

1                    Specifically, FIG. 3B shows a condition of  
the light spots on the photodetector 10" when the  
reflection beam ( $L1 = 785 \text{ nm}$ ) from the HOE 9" is received  
at the light receiving areas (A', B', C', D') of the  
5 photodetector 10". The reflection beam (L1) entering the  
area I of the HOE 9" is diffracted at the first hologram  
H1" to a midpoint of the light receiving areas A' and B'  
of the photodetector 10", and the light spot is formed  
there. The reflection beam (L1) entering the areas II and  
10 III of the HOE 9" is diffracted at the first hologram H1"  
to the light receiving areas C' and D' of the  
photodetector 10", and the two light spots are formed  
there. The reflection beam (L1) entering the HOE 9" is  
diffracted at the second hologram H2" to the right-side  
15 positions of the light receiving areas (A', B', C', D') of  
the photodetector 10". The three light spots with a  
slightly large size are formed there, and they do not  
enter the light receiving areas of the photodetector 10"  
as shown in FIG. 3B.

20                    Similarly, FIG. 3C shows a condition of the  
light spots on the photodetector 10" when the reflection  
beam ( $L2 = 650 \text{ nm}$ ) from the HOE 9" is received at the  
light receiving areas (A', B', C', D') of the  
photodetector 10". The reflection beam (L2) entering the  
25 area I of the HOE 9" is diffracted at the second hologram

1 H2" to the midpoint of the light receiving areas A' and B'  
of the photodetector 10", and the light spot is formed  
there. The reflection beam (L2) entering the areas II and  
III of the HOE 9" is diffracted at the second hologram H2"  
5 to the light receiving areas C' and D' of the  
photodetector 10", and the two light spots are formed  
there. The reflection beam (L2) entering the HOE 9" is  
diffracted at the first hologram H1" to the left-side  
positions of the light receiving areas (A', B', C', D') of  
10 the photodetector 10". The three light spots with a  
slightly large size are formed there, and they do not  
enter the light receiving areas of the photodetector 10"  
as shown in FIG. 3C.

The photodetector 10" outputs the signals  
15 (SA', SB', SC', SD') to the control unit. In the control  
unit, a focusing error signal ( $= (SA' - SB')$ ) is generated  
based on the output signals of the photodetector 10" in  
accordance with the knife-edge method, and a tracking  
error signal ( $= (SC' - SD')$ ) is generated based on the  
20 output signals of the photodetector 10" in accordance with  
the push-pull method. Also, in the control unit, an  
information signal ( $= (SA' + SB' + SC' + SD')$ ) is  
generated based on the output signals of the photodetector  
10".

25 FIG. 4A and FIG. 4B show configuration

1 requirements of the holographic optical element and the  
photodetector in the optical pickup apparatus of the  
present invention.

5 In the case of the optical pickup apparatus  
of FIG. 2A, when the reflection beam (L1) from the HOE 9'  
is received at the photodetector 10', the light spots are  
formed on the common light receiving areas (A, B, C, D) of  
the photodetector 10' by the diffraction of the reflection  
10 beam by the first hologram H1', and it is necessary that  
the diffracted rays produced by the second hologram H2'  
from the reflection beam (L1) do not enter the light  
receiving areas (A, B, C, D) of the photodetector 10' and  
do not interfere with the light spots on the light  
receiving areas (A, B, C, D). In FIG. 4A and FIG. 4B, the  
15 configuration requirements of the holographic optical  
element (HOE) 9' and the photodetector 10' for suitably  
forming the light spots with the diffracted rays by the  
first hologram H1' and avoiding the interference of the  
diffracted rays by the second hologram H2' with the light  
20 spots will be explained.

As shown in FIG. 4A, when the reflection  
beam (L1) enters the HOE 9', a principal diffracted ray R1  
is produced by the first hologram H1' from the reflection  
beam (L1) and a principal diffracted ray R2 is produced by  
25 the second hologram H2' from the reflection beam (L1).

1 The diffracted ray R1 is at an angle  $\theta_1$  to the optical  
axis of the HOE 9', and the diffracted ray R2 is at an  
angle  $\theta_2$  to the optical axis of the HOE 9'. Suppose that  
a grating pitch of the first hologram H1' of the HOE 9' is  
5 indicated by  $d_1$ , a grating pitch of the second hologram  
H2' of the HOE 9' is indicated by  $d_2$ , a width of the light  
receiving areas of the photodetector 10' is indicated by  
W, and a distance between the HOE 9' and the photodetector  
10' is indicated by D.

10 In FIG. 4A, supposing that the point where  
the diffracted ray R1 hits the surface of the  
photodetector 10' lies at a distance "y1" in a direction  
perpendicular to the optical axis of the HOE 9', the  
positional relationship:  $y_1 = D \cdot \tan \theta_1$  is met. With  
15 respect to the diffraction by the first hologram H1', the  
equation:  $\sin \theta_1 = L_1/d_1$  is satisfied where  $L_1$  is the  
wavelength of the first laser beam and  $d_1$  is the grating  
pitch of the first hologram H1'. Therefore,  $\theta_1 = \sin^{-1}(L_1/d_1)$ . Also, supposing that the point where the  
20 diffracted ray R2 hits the surface of the photodetector  
10' lies at a distance "y2" in a direction perpendicular  
to the optical axis of the HOE 9', the positional  
relationship:  $y_2 = D \cdot \tan \theta_2$  is met. With respect to the  
diffraction by the second hologram H2', the equation:  $\sin$   
25  $\theta_2 = L_1/d_2$  is satisfied where  $L_1$  is the wavelength of the



1 first laser beam and  $d_2$  is the grating pitch of the second  
hologram  $H_2'$ . Therefore,  $\theta_2 = \sin^{-1}(L_1/d_2)$ .

The positional relationships for the  
diffracted ray  $R_1$  and for the diffracted ray  $R_2$  are  
5  $y_1 = D \cdot \tan \theta_1 = D \cdot \tan \{\sin^{-1}(L_1/d_1)\}$   
 $y_2 = D \cdot \tan \theta_2 = D \cdot \tan \{\sin^{-1}(L_1/d_2)\}$ .

In this case, as shown in FIG. 4A, the hit point of the  
diffracted ray  $R_1$  lies substantially at the midpoint of  
the light receiving areas of the photodetector 10', and it  
10 is necessary that the hit point of the diffracted ray  $R_2$   
deviates from the edge of the light receiving areas of the  
photodetector 10'. In other words, if the difference  
between the distance  $y_1$  (the hit point of the diffracted  
ray  $R_1$ ) and the distance  $y_2$  (the hit point of the  
15 diffracted ray  $R_2$ ) is larger than or equal to half the  
width  $W$  of the light receiving areas of the photodetector  
10', the light spots can be suitably formed at the light  
receiving areas of the photodetector 10' by the diffracted  
ray  $R_1$  of the first hologram  $H_1'$ , and the interference of  
20 the diffracted ray  $R_2$  of the second hologram  $H_2'$  with the  
light spots can be avoided. By this assumption, the  
configuration requirement of the HOE 9' and the  
photodetector 10' is represented by

$$W \leq 2D [\tan\{\sin^{-1}(L_1/d_2)\} - \tan\{\sin^{-1}(L_1/d_1)\}] \quad (1)$$

25 As shown in FIG. 4B, when the reflection

1 beam (L2) enters the HOE 9', a principal diffracted ray  
R1' is produced by the first hologram H1' from the  
reflection beam (L2) and a principal diffracted ray R2' is  
produced by the second hologram H2' from the reflection  
5 beam (L2). The diffracted ray R1' is at an angle  $\theta_1'$  to  
the optical axis of the HOE 9', and the diffracted ray R2'  
is at an angle  $\theta_2'$  to the optical axis of the HOE 9'.  
Suppose that the grating pitch of the first hologram H1'  
of the HOE 9' is indicated by  $d_1$ , the grating pitch of the  
10 second hologram H2' of the HOE 9' is indicated by  $d_2$ , the  
width of the light receiving areas of the photodetector  
10' is indicated by  $W$ , and the distance between the HOE 9'  
and the photodetector 10' is indicated by  $D$ .

In FIG. 4B, supposing that the point where  
15 the diffracted ray R1' hits the surface of the  
photodetector 10' lies at a distance " $y_1$ " in the  
direction perpendicular to the optical axis of the HOE 9',  
the positional relationship:  $y_1' = D \cdot \tan \theta_1'$  is met. With  
respect to the diffraction by the first hologram H1', the  
20 equation:  $\sin \theta_1' = L_2/d_1$  is satisfied where  $L_2$  is the  
wavelength of the second laser beam and  $d_1$  is the grating  
pitch of the first hologram H1'. Therefore,  $\theta_1' = \sin^{-1}(L_2/d_1)$ . Also, supposing that the point where the  
diffracted ray R2' hits the surface of the photodetector  
25 10' lies at a distance " $y_2$ " in the direction

1 perpendicular to the optical axis of the HOE 9', the  
positional relationship:  $y_2' = D \cdot \tan \theta_2'$  is met. With  
respect to the diffraction by the second hologram H2', the  
equation:  $\sin \theta_2' = L_2/d_2$  is satisfied where  $L_2$  is the  
5 wavelength of the second laser beam and  $d_2$  is the grating  
pitch of the second hologram H2'. Therefore,  $\theta_2' = \sin^{-1}(L_2/d_2)$ .

The positional relationships for the  
diffracted ray R1' and for the diffracted ray R2' are  
10  $y_1' = D \cdot \tan \theta_1' = D \cdot \tan \{\sin^{-1}(L_2/d_1)\}$   
 $y_2' = D \cdot \tan \theta_2' = D \cdot \tan \{\sin^{-1}(L_2/d_2)\}$ .  
In this case, as shown in FIG. 4B, the hit point of the  
diffracted ray R2' lies substantially at the midpoint of  
the light receiving areas of the photodetector 10', and it  
15 is necessary that the hit point of the diffracted ray R1'  
deviates from the edge of the light receiving areas of the  
photodetector 10'. In other words, if the difference  
between the distance  $y_1'$  (the hit point of the diffracted  
ray R1') and the distance  $y_2'$  (the hit point of the  
20 diffracted ray R2') is larger than or equal to half the  
width  $W$  of the light receiving areas of the photodetector  
10', the light spots can be suitably formed at the light  
receiving areas of the photodetector 10' by the diffracted  
ray R2' of the second hologram H2', and the interference  
25 of the diffracted ray R1' of the first hologram H1' with

1 the light spots can be avoided. By this assumption, the  
configuration requirement of the HOE 9' and the  
photodetector 10' is represented by

$$W \leq 2D [\tan\{\sin^{-1}(L2/d2)\} - \tan\{\sin^{-1}(L2/d1)\}] \quad (2)$$

5 In the optical pickup apparatus of FIG. 2A,  
the holographic optical element (HOE) 9' and the  
photodetector 10' are configured so as to satisfy the  
above configuration requirements (1) and (2), and this  
makes it possible to ensure that the light spots are  
10 suitably formed by the diffracted rays by one of the first  
hologram H1' and the second hologram H2' and the  
interference of the diffracted rays by the other hologram  
(the second hologram H2' or the first hologram H1') with  
the light spots is avoided.

15 Next, FIG. 5A and FIG. 5B show still  
another embodiment of the optical pickup apparatus of the  
present invention. In FIG. 5A and FIG. 5B, the elements  
which are essentially the same as corresponding elements  
in FIG. 1A or FIG. 2A are designated by the same reference  
20 numerals, and a description thereof will be omitted.

In the optical pickup apparatus of FIG. 5A,  
the beam splitter 5 as in the previous embodiments of FIG.  
1A and FIG. 2A is eliminated. The optical pickup  
apparatus of FIG. 5A has a common optical path for the  
25 first and second laser beams (L1 and L2), and the coupling

1 lens 4 and the objective lens 6 are arranged such that  
both an optical axis of the coupling lens 4 and an optical  
axis of the objective lens 6 accord with the common  
optical path. The objective lens 6 is a single element  
5 which is provided in common for the first and second laser  
beams (L1 and L2) emitted by the first and second laser  
sources 1 and 2. A holographic optical element (HOE) 9A  
is arranged on the common optical path. A beam splitter  
3', which is provided instead of the beam splitter 3 as in  
10 the embodiments of FIG. 1A and FIG. 2A, reflects the first  
laser beam (L1), emitted by the first laser source 1, to  
the common optical path. The beam splitter 3' reflects  
the first laser beam (L1) to the coupling lens 4, and  
allows the second laser beam (L2), emitted by the second  
15 laser source 2, to pass through the beam splitter 3'. The  
beam splitter 3' acts as the beam collector that is  
arranged on the common optical path adjacent to the first  
and second light sources 1 and 2 and allows the first and  
second light beams (L1 and L2) from the first and second  
20 light sources 1 and 2 to be collected to the coupling lens  
4 along the common optical path.

The holographic optical element (HOE) 9A  
allows both the first and second laser beams (L1 and L2)  
to pass through the HOE 9A, which can be considered the 0-  
25 order diffracted rays derived from the emission beams at

1 the HOE 9A. The coupling lens 4 converts the reflected  
laser beam (L1/L2) into the collimated beam passing  
through the coupling lens 4. The collimated beam (L1/L2)  
from the coupling lens 4 enters the objective lens 6. The  
5 objective lens 6 converts the collimated beam into a  
converging beam. The converging beam (L1/L2) from the  
objective lens 6 passes through the transparent substrate  
of the optical disk 7 or 8, and it forms a light spot on  
the recording surface of the optical disk 7 or 8 by the  
10 focusing function of the objective lens 6.

A reflection beam (L1/L2) of the light spot  
from one of the first and second optical disks 7 and 8  
passes through the objective lens 6 and the coupling lens  
4, and enters the HOE 9A along the common optical path.  
15 The HOE 9A provides the holographic effects on the  
reflection beam (L1/L2) from the coupling lens 4. The  
reflection beam (L1/L2) passing through the HOE 9A is  
diffracted. In the beam splitter 3', a slanted reflection  
surface 30 is formed at an appropriate position of the  
20 beam splitter 3'. The reflection beam from the HOE 9A is  
reflected on the reflection surface 30 of the beam  
splitter 3' and enters the photodetector 10A. The  
photodetector 10A receives the reflection beam from the  
HOE 9A at the light receiving areas of the photodetector  
25 10, and outputs signals indicative of respective

1 intensities of the received reflection beam at the light  
receiving areas, so that a focusing error signal and a  
tracking error signal are generated based on the signals  
output by the photodetector 10A.

5 In the optical pickup apparatus of FIG. 5A,  
the HOE 9 of FIG. 1C and the photodetector 10 of FIG. 1B  
may be used as the HOE 9A and the photodetector 10A.  
Alternatively, the HOE 9' of FIG. 2C and the photodetector  
10' of FIG. 2B may be used as the HOE 9A and the  
10 photodetector 10A in the embodiment of FIG. 5A. Further,  
the HOE 9" of FIG. 3A and the photodetector 10" of FIG. 3B  
and FIG. 3C may be used as the HOE 9A and the  
photodetector 10A in the embodiment of FIG. 5A.

In the optical pickup apparatus of FIG. 5A,  
15 the holographic optical element (HOE) 9A is arranged on  
the common optical path, and the beam splitter 3', the  
first and second light sources 1 and 2, the HOE 9A and the  
photodetector 10A are accommodated in a common module 11  
as shown in FIG. 5B.

20 FIG. 6 shows a further embodiment of the  
optical pickup apparatus of the present invention. In  
FIG. 6, the elements which are essentially the same as  
corresponding elements in FIG. 5A are designated by the  
same reference numerals, and a description thereof will be  
25 omitted.

1           In the optical pickup apparatus of FIG. 6,  
the beam splitter 3 and the beam splitter 5 as in the  
previous embodiments of FIG. 1A and FIG. 2A are  
eliminated. A first laser diode 1' which emits the first  
5 laser beam with the wavelength L1 (= 785 nm) and a second  
laser diode 2' which emits the second laser beam with the  
wavelength L2 (= 650 nm) are arranged in a vicinity of the  
common optical path of the optical pickup apparatus, and  
the first and second laser diodes 1' and 2', a  
10 photodetector 10B and a holographic optical element (HOE)  
9B are accommodated in a common package 12.

Similar to the embodiment of FIG. 5A, the  
HOE 9B in the optical pickup apparatus of FIG. 6 is  
arranged on the common optical path. As shown in FIG. 6,  
15 the first and second laser diodes 1' and 2', the HOE 9B  
and the photodetector 10B are integrated into the common  
package 12. This configuration is effective in making the  
optical pickup apparatus of the present embodiment  
compact. In the optical pickup apparatus of FIG. 6, the  
20 photodetector 10' of FIG. 2B may be used as the  
photodetector 10B. Alternatively, the photodetector 10 of  
FIG. 1B may be used as the photodetector 10B in the  
optical pickup apparatus of FIG. 6.

FIG. 7A and FIG. 7B show examples of the  
25 common package 12 in the optical pickup apparatus of the



1 present invention. In FIG. 7A and FIG. 7B, the elements  
which are essentially the same as corresponding elements  
in FIG. 6 are designated by the same reference numerals,  
and a description thereof will be omitted.

5 In the common package 12 of FIG. 7A, the  
first and second laser diodes 1' and 2' are bonded to a  
heat sink 13 and the photodetector 10B is mounted on the  
heat sink 13. Further, the HOE 9B is attached to the top  
surface of the common package 12 by using an adhesive  
10 agent. In this manner, the first and second laser diodes  
1' and 2', the HOE 9B and the photodetector 10B are  
integrated into the common package 12.

In the common package 12 of FIG. 7B, the  
first and second laser diodes 1' and 2' are bonded to the  
15 heat sink 13 such that a height of the first laser diode  
1' on the bottom of the common package 12 is different  
from a height of the second laser diode 2' on the bottom  
of the common package 12 by a distance "dZ" along the  
common optical path of the optical pickup apparatus.  
20 Other features and advantages of this embodiment are the  
same as those of the common package 12 of FIG. 7A.

As in the optical pickup apparatus of FIG.  
6, the first and second optical disks 7 and 8 have the  
transparent substrates which are different in thickness.  
25 In order to allow the focusing effect of the coupling lens

1     4 and the objective lens 6 that forms an appropriate light  
spot on each of the recording surfaces of the first and  
second optical disks 7 and 8, the coupling lens 4 and the  
objective lens 6 are configured by taking account of the  
5     difference between the laser beam wavelengths L1 and L2 as  
well as of the difference between the substrate  
thicknesses of the optical disks 7 and 8. The height-  
difference configuration of the laser diodes 1' and 2' in  
the common package 12 of FIG. 7B is effective in designing  
10    the coupling lens 4 and the objective lens 6 into a  
suitable configuration.

FIG. 8 shows another example of the common  
package 12 in the optical pickup apparatus of the present  
invention. In FIG. 8, the elements which are essentially  
15    the same as corresponding elements in FIG. 7A are  
designated by the same reference numerals, and a  
description thereof will be omitted.

In the common package 12 of FIG. 8, a  
silicon substrate 10-1 is bonded to the top of the heat  
20    sink 13, and the first and second laser diodes 1' and 2'  
are horizontally mounted on the silicon substrate 10-1. A  
reflection mirror 14 which is provided in a triangular  
cross section is mounted on the silicon substrate 10-1  
such that the laser diodes 1' and 2' confront the  
25    reflection mirror 14 from the opposite sides of the

1 reflection mirror 14. The first laser beam (L1) emitted  
by the first laser diode 1' is reflected at one side of  
the reflection mirror 14 toward the first optical disk 7,  
and the second laser beam (L2) emitted by the second laser  
5 diode 2' is reflected at the other side of the reflection  
mirror 12 toward the second optical disk 8. Further, the  
photodetector 10B is formed on the silicon substrate 10-1,  
and the HOE 9B is attached to the top surface of the  
common package 12 by using an adhesive agent. In this  
10 manner, the first and second laser diodes 1' and 2', the  
HOE 9B and the photodetector 10B are integrated into the  
common package 12.

In the common package 12 of FIG. 8, the  
first and second laser diodes 1' and 2' are arranged in a  
15 vicinity of the common optical path of the optical pickup  
apparatus, and they are horizontally mounted on the  
silicon substrate 10-1. This configuration is effective  
in achieving a good positional accuracy of the elements of  
the optical pickup apparatus when manufactured. The  
20 silicon substrate 10-1 provides good heat dissipation and  
functions as a heat sink for the laser diodes 1' and 2'.  
Further, the common package 12 of FIG. 8 may be modified  
such that reflection surfaces are formed at a portion of  
the silicon substrate 10-1 by using anisotropic etching in  
25 order to substitute for the reflection mirror 14.

1                   FIG. 9 shows another embodiment of the  
optical pickup apparatus of the present invention. In  
FIG. 9, the elements which are essentially the same as  
corresponding elements in FIG. 6 are designated by the  
5   same reference numerals, and a description thereof will be  
omitted.

                  In the optical pickup apparatus of FIG. 9,  
a holographic optical element (HOE) 9C is arranged on the  
common optical path between the coupling lens 4 and the  
10   objective lens 6. Similar to the previous embodiment of  
FIG. 6, a photodetector 10C in the optical pickup  
apparatus of FIG. 9 is accommodated in the common package  
12 together with the first and second laser diodes 1' and  
2'. In the case of the common package 12 of FIG. 8, the  
15   HOE 9B and the photodetector 10B are arranged at positions  
within the common package 12 that are adjacent to each  
other. It is necessary that the reflection beam passing  
through the HOE 9B is sharply diffracted to the  
photodetector 10B. However, in the configuration of FIG.  
20   9, the HOE 9C and the photodetector 10C can be arranged at  
a relatively large distance along the optical axis, and it  
is possible that the reflection beam passing through the  
HOE 9C be moderately diffracted to the photodetector 10C.

                  In the case of the optical pickup apparatus  
25   of FIG. 9, the photodetector 10C may be the same as the

1 photodetector 10' of FIG. 2B. That is, the photodetector  
10C includes the common light receiving areas (A, B, C, D)  
as shown in FIG. 2B. Suppose that the HOE 9C is  
configured with a first hologram H1 and a second hologram  
5 H2. In the following, the configuration requirement of  
the HOE 9C appropriate for the optical pickup apparatus of  
FIG. 9 will be explained.

When the reflection beam ( $L1/L2$ ) enters the  
HOE 9C, a principal diffracted ray is produced by the  
10 first hologram H1 from the reflection beam ( $L1$ ) and a  
principal diffracted ray is produced by the second  
hologram H2 from the reflection beam ( $L2$ ). The first  
diffracted ray is at an angle  $\theta1$  to the optical axis of  
the HOE 9C, and the second diffracted ray is at an angle  
15  $\theta2$  to the optical axis of the HOE 9C. Suppose that a  
grating pitch of the first hologram H1 of the HOE 9C is  
indicated by  $d1$ , and a grating pitch of the second  
hologram H2 of the HOE 9C is indicated by  $d2$ . With  
respect to the diffraction by the first hologram H1, the  
20 equation:  $\sin \theta1 = L1/d1$  is satisfied where  $L1$  is the  
wavelength of the first laser beam and  $d1$  is the grating  
pitch of the first hologram H1. With respect to the  
diffraction by the second hologram H2, the equation:  $\sin$   
 $\theta2 = L2/d2$  is satisfied where  $L2$  is the wavelength of the  
25 second laser beam and  $d2$  is the grating pitch of the

1 second hologram H2.

In this case, the configuration requirement of the HOE 9C means that the hit point of the first diffracted ray and the hit point of the second diffracted ray are substantially at the same position in the light receiving areas of the photodetector 10C. That is, if the diffraction angle  $\theta_1$  is equal to the diffraction angle  $\theta_2$ , the reflection beam passing through the HOE 9C can be appropriately diffracted to the photodetector 10C. By this assumption ( $\theta_1 = \theta_2$ ), the configuration requirement of the HOE 9C is represented by the formula  $L1/d1 = L2/d2$ . Hence, it is readily understood that the HOE 9C can be configured with the first hologram H1 and the second hologram H2 so as to satisfy the configuration requirement  $L1/d1 = L2/d2$ .

FIG. 10 shows a further embodiment of the optical pickup apparatus of the present invention. In FIG. 10, the elements which are essentially the same as corresponding elements in FIG. 6 are designated by the same reference numerals, and a description thereof will be omitted.

The optical pickup apparatus of FIG. 10 has a common optical path for the first and second laser beams ( $L1$  and  $L2$ ), and the coupling lens 4 and the objective lens 6 are arranged such that both an optical axis of the

1 coupling lens 4 and an optical axis of the objective lens  
6 accord with the common optical path. The objective lens  
6 is a single element which is provided in common for the  
first and second laser beams emitted by the first and  
5 second laser diodes 1' and 2'.

In the optical pickup apparatus of FIG. 10,  
the holographic optical element (HOE), such as the element  
9B in the previous embodiment of FIG. 6, is configured  
with a polarization hologram 90 and a quarter-wave plate  
10 15. The polarization hologram 90 has diffracting effects  
depending on polarizing directions of the incident beam.  
The quarter-wave plate 15 is arranged on the common  
optical path such that the quarter-wave plate 15 is placed  
on an optical-disk side of the polarization hologram 90.

15 Further, in the optical pickup apparatus of  
FIG. 10, the first and second laser diodes 1' and 2' are  
arranged in a vicinity of the common optical path, and the  
first and second laser diodes 1' and 2', the photodetector  
10B and the holographic optical element (90, 15) are  
20 accommodated in the common package 12. The holographic  
optical element (90, 15) is arranged on the common optical  
path, and the first and second laser diodes 1' and 2', the  
photodetector 10B and the holographic optical element (90,  
15) are integrated into the common package 12.

25 In the case of the optical pickup apparatus

1 of FIG. 6, the emission beam from one of the laser light  
sources 1' and 2' is allowed to pass through the  
holographic optical element (HOE) 9B toward the optical  
disk 7 or 8 as the 0-order diffracted ray with the other  
5 diffracted components being made ineffective. The energy  
of the emission beam from the light source will be  
partially lost when transmitted through the HOE 9B.

In the optical pickup apparatus of FIG. 10,  
the holographic optical element (HOE) is configured with  
10 the polarization hologram 90 and the quarter-wave plate  
15. The polarization hologram 90 in the present  
embodiment has diffracting effects depending on the  
polarizing directions of the incident beam. Specifically,  
the polarization hologram 90 allows the p-polarized light  
15 of the incident beam to pass through the polarization  
hologram 90 without diffraction, and diffracts 80% or more  
of the s-polarized light of the incident beam.

In the optical pickup apparatus of FIG. 10,  
the direction of the emission beam from the laser diodes  
20 1' and 2' to enter the polarization hologram 90 is  
adjusted such that the p-polarized light of the emission  
beam from the laser light source suitably enters the  
polarization beam 90. In the present embodiment, the  
emission beam from the laser light source efficiently  
25 passes through the polarization hologram 90 toward the



1     optical disk 7 or 8. The emission beam passing through  
the polarization hologram 90 is converted into a  
circularly polarized beam at the quarter-wave plate 15.  
The reflection beam from the optical disk 7 or 8 is  
5     converted into a linearly polarized beam by the quarter-  
wave plate 15, and the polarizing directions of the  
reflection beam are rotated 90° from the original  
polarizing directions. The s-polarized light of the  
reflection beam from the quarter-wave plate 15 enters the  
10    polarization hologram 90. The polarization hologram 90  
diffracts 80% or more of the s-polarized light of the  
reflection beam to the photodetector 10B as the +1-order  
diffracted ray and the -1-order diffracted ray.  
Therefore, 40% or more of the s-polarized light of the  
15    reflection beam can be collected to the photodetector 10B  
as the light spot thereon. The optical pickup apparatus  
of the present embodiment is effective in increasing the  
efficiency of light transmission from the laser diodes 1'  
and 2' to the photodetector 10B over the efficiency of the  
20    previous embodiment of FIG. 6.

Concerning the polarization hologram, such  
as the element 90 in the embodiment of FIG. 10, a thin-  
film polarization hologram having a birefringence layer of  
an inorganic crystal material, such as  $\text{LiNbO}_3$ , is known.  
25    For example, see Japanese Laid-Open Patent Application

1     No.63-314502. However, the manufacture of such a  
polarization hologram requires a time-consuming ion  
exchange process, and it has been expensive. This makes  
the optical pickup apparatus incorporating such a  
5     polarization hologram expensive, and it is difficult to  
achieve the manufacture of a small-size optical pickup  
apparatus with low cost.

Next, a description will be given of  
features and advantages of the polarization hologram 90  
10    which is incorporated in one embodiment of the optical  
pickup apparatus of the present invention.

FIG. 11 shows a polarization hologram 90 in  
one embodiment of the optical pickup apparatus of the  
present invention.

15           As shown in FIG. 11, the polarization  
hologram 90 generally has a transparent substrate 92, a  
birefringence layer 93, and an isotropic overcoat layer  
94. The transparent substrate 92 is made of a glass or  
resin material. The birefringence layer 93 is made of an  
20    organic polymer material (which will be described in  
detail later), and provided on the transparent substrate  
92 in a periodic grating pattern. The birefringence layer  
93 is fixed to the transparent substrate 92 by an adhesion  
layer 95. The birefringence layer 93 of the organic  
25    polymer material, provided in the periodic grating

1 pattern, has different refractive indexes for two  
orthogonal polarizing directions of an incident beam which  
is the reflection beam from the optical disk in the  
optical pickup apparatus. The isotropic overcoat layer 94  
5 is provided to enclose the birefringence layer 93 therein.  
The polarization hologram 90 diffracts the reflection beam  
in predetermined diffracting directions depending on the  
wavelength ( $L1/L2$ ) of the incident reflection beam.

The polarization hologram 90 of FIG. 11 is  
10 characterized by the birefringence layer 93 which is  
formed from a uni-directionally stretched film of an  
organic polymer material into a periodic grating pattern  
on the transparent substrate 92. The uni-directional  
stretching of the organic polymer material creates the  
15 difference between the refractive indexes for the two  
orthogonal polarizing directions of the incident beam.  
The polarization hologram 90 of FIG. 11 does not require a  
time-consuming manufacturing process and a high cost, as  
in the case of the conventional polarization hologram  
20 having a birefringence layer of an inorganic crystal  
material, such as  $\text{LiNbO}_3$ . A large-quantity, low-cost  
production of the polarization hologram 90 is possible.  
The polarization hologram 90 of FIG. 11 is inexpensive and  
can be provided with a small size.

25 In the polarization hologram 90 of FIG. 11,

1 the birefringence layer 93 is formed from a stretched  
organic polymer film, and the organic polymer material of  
the birefringence layer 93 is selected from among  
polycarbonate (PC), polyvinylalcohol (PVA),  
5 polymethylmethacrylate (PMMA), polystyrene, polysulfone  
(PSF), polyethylsulfone (PES), and polyimide. Obviously,  
the organic polymer material which is applicable to the  
polarization hologram 90 is not limited to these examples.

As described above, the birefringence layer  
10 93 in the polarization hologram 90 of FIG. 11 has  
different refractive indexes for two orthogonal polarizing  
directions of an incident beam. This operation of the  
polarization hologram 90 will now be explained with  
reference to FIG. 12 and FIG. 13.

15 FIG. 12 shows an operation of the  
polarization hologram 90 of FIG. 11. As shown in FIG. 12,  
the incident beam (e.g., the reflection beam from the  
optical disk) to the polarization hologram 90 has two  
orthogonal polarizing directions: one parallel to the page  
20 of the figure (indicated by the lateral arrows in FIG. 12)  
and the other perpendicular to the page of the figure  
(indicated by the small dots in FIG. 12) within a normal  
plane to the incident beam. The incident beam is  
converted at the polarization hologram 90 into the 0-order  
25 diffracted ray (corresponding to the parallel polarizing

1        directions) and the  $\pm 1$ -order diffracted rays  
      (corresponding to the perpendicular polarizing  
      directions). The 0-order diffracted ray travels in a  
      straight line through the polarization hologram 90. The  
5         $\pm 1$ -order diffracted rays are the diffracted reflection  
      beams produced at the polarization hologram 90, which are  
      diffracted in the predetermined diffraction directions to  
      the photodetector 10B as in the optical pickup apparatus  
      of FIG. 10.

10                FIG. 13 shows another operation of the  
      polarization hologram 90 of FIG. 11. As shown in FIG. 13,  
      the incident beam to the polarization hologram 90 has two  
      orthogonal polarizing directions: one parallel to the page  
      of the figure (indicated by the lateral arrows in FIG. 13)  
15        and the other perpendicular to the page of the figure  
      (indicated by the small dot in FIG. 13) within a normal  
      plane to the incident beam. The incident beam is  
      converted at the polarization hologram 90 into the 0-order  
      diffracted ray (corresponding to the perpendicular  
20        polarizing directions) and the  $\pm 1$ -order diffracted rays  
      (corresponding to the parallel polarizing directions).  
      The 0-order diffracted ray travels in a straight line  
      through the polarization hologram 90. The  $\pm 1$ -order  
      diffracted rays are the diffracted reflection beams  
25        produced at the polarization hologram 90, which are

1     diffracted in the predetermined diffraction directions to  
the photodetector 10B as in the optical pickup apparatus  
of FIG. 10.

FIG. 14 shows another example of the  
5     polarization hologram 90 in one embodiment of the optical  
pickup apparatus of the present invention.

As shown in FIG. 14, the polarization  
hologram 90 generally has a transparent substrate 92, a  
birefringence layer 93A, and an isotropic overcoat layer  
10    94. The transparent substrate 92 is made of a glass or  
resin material. The birefringence layer 93A is made of an  
organic polymer material (which will be described in  
detail later), and provided on the transparent substrate  
92 in a periodic grating pattern. The birefringence layer  
15    93 is fixed to the transparent substrate 92 by an adhesion  
layer 95. The birefringence layer 93 of the organic  
polymer material, provided in the periodic grating  
pattern, has different refractive indexes for two  
orthogonal polarizing directions of an incident beam which  
20    is the reflection beam from the optical disk in the  
optical pickup apparatus. The isotropic overcoat layer 94  
is provided to enclose the birefringence layer 93 therein.  
The polarization hologram 90 diffracts the reflection beam  
in predetermined diffracting directions depending on the  
25    wavelength ( $L1/L2$ ) of the incident reflection beam.

1           The polarization hologram 90 of FIG. 14 is  
characterized by the birefringence layer 93A which is  
formed by heating and stretching of a polyimide film. The  
stretching of the organic polymer material creates the  
5 difference between the refractive indexes for the two  
orthogonal polarizing directions of the incident beam.  
The polyimide birefringence layer 93A provides a  
relatively large difference ( $dn = 0.13$ ) between the  
refractive indexes for the two orthogonal polarizing  
10 directions of the incident beam. In the case of a  $LiNbO_3$   
birefringence layer in the conventional polarization  
hologram, the difference ( $dn$ ) between the refractive  
indexes is equal to about 0.08. Hence, the thickness of  
the periodic grating pattern of the polyimide  
15 birefringence layer 93A can be made relatively small. The  
polarization hologram 90 of FIG. 14 does not require a  
time-consuming manufacturing process and a high cost, as  
in the case of the conventional polarization hologram  
having a  $LiNbO_3$  birefringence layer. A large-quantity,  
20 low-cost production of the polarization hologram 90 is  
possible. The polarization hologram 90 of FIG. 14 is  
inexpensive and can be provided with a small size.

          The operation of the polarization hologram  
90 of FIG. 14 is essentially the same as the operation of  
25 the polarization hologram 90 described above with

1 reference to FIG. 12 and FIG. 13, and a description  
thereof will be omitted.

FIG. 15 is an enlarged view of essential  
parts of the polarization hologram of FIG. 11.

5 As shown in FIG. 15, the polarization  
hologram 90 is configured with the transparent substrate  
92, the birefringence layer 93, and the isotropic overcoat  
layer 94. The birefringence layer 93 of the organic  
polymer material is provided on the transparent substrate  
10 92 in the periodic grating pattern. Suppose that a  
grating pitch of the periodic grating pattern is indicated  
by "d" in FIG. 15, and a depth of the periodic grating  
pattern of the birefringence layer 93 is indicated by "h"  
in FIG. 15. The birefringence layer 93 has different  
15 refractive indexes (which are indicated by "np" and "ns"  
in FIG. 15) for the two orthogonal polarizing directions  
of the incident beam. The "np" is a refractive index of  
the layer 93 for a p-polarized light of the incident beam,  
and the "ns" is a refractive index of the layer 93 for an  
20 s-polarized light of the incident beam. The isotropic  
overcoat layer 94 has a refractive index which is  
indicated by "nl" in FIG. 15.

In FIG. 15, an optical path difference  $D_p$   
between an optical path "A" and an optical path "B" with  
25 respect to the parallel polarizing directions is



1 represented by  $(n_p - n_l)h$ , while an optical path  
difference  $D_s$  between the optical path A and the optical  
path B with respect to the perpendicular polarizing  
directions is represented by  $(n_s - n_l)h$ .

5 As is apparent from FIG. 15, the  
configuration requirements of the polarization hologram 90  
for achieving the operation of FIG. 12 are that the  
optical path difference  $D_p$  is equal to a multiple of the  
wavelength of the incident beam and the optical path  
10 difference  $D_s$  is equal to a multiple of the wavelength of  
the incident beam plus or minus the half wave length.  
Therefore, the polarization hologram 90 in this case is  
configured to substantially satisfy the following  
requirements

15 
$$(n_p - n_l)h = mL \quad (3)$$

$$(n_s - n_l)h = (m \pm 1/2)L \quad (4)$$

where  $L$  is the wavelength of the incident beam, and  $m$  is  
an integer ( $m = 0, \pm 1, \pm 2, \dots$ ). Practically, the above  
requirements (3) and (4) are not strictly satisfied in  
20 determining the configuration of the polarization hologram  
90, but the refractive indexes  $n_p$  and  $n_s$  of the layer 93,  
the refractive index  $n_l$  of the layer 94, the depth  $h$  of  
the periodic grating pattern of the layer 93, and the  
integer  $m$  are optimized through experiments so as to  
25 substantially satisfy the above requirements (3) and (4).

1                    Similarly, the configuration requirements  
of the polarization hologram 90 for achieving the  
operation of FIG. 13 are that the optical path difference  
Dp is equal to a multiple of the wavelength of the  
5   incident beam plus or minus the half wave length, and the  
optical path difference Ds is equal to a multiple of the  
wavelength of the incident beam. Therefore, the  
polarization hologram 90 in this case is configured to  
substantially satisfy the following requirements

10                     $(n_p - n_l)h = (m \pm 1/2)L$                     (5)

$(n_s - n_l)h = mL$                     (6)

Practically, the above requirements (5) and (6) are not  
strictly satisfied in determining the configuration of the  
polarization hologram 90, but the refractive indexes np  
15   and ns of the layer 93, the refractive index nl of the  
layer 94, the depth h of the periodic grating pattern of  
the layer 93, and the integer m are optimized through  
experiments so as to substantially satisfy the above  
requirements (5) and (6).

20                    FIG. 16A through FIG. 16F show a process of  
production of the polarization hologram 90 in the optical  
pickup apparatus of the present invention.

                    The polarization hologram 90 is produced by  
preparing a stretched organic polymer film. The  
25   birefringence layer 93 is formed from the stretched

1 organic polymer film into a periodic grating pattern on  
the transparent substrate 92. The stretching of the  
organic polymer material creates the difference between  
the refractive indexes of the birefringence layer 93 for  
5 the two orthogonal polarizing directions of the incident  
beam. The organic polymer material of the birefringence  
layer 93 is selected from among polycarbonate (PC),  
polyvinylalcohol (PVA), polymethylmethacrylate (PMMA),  
polystyrene, polysulfone (PSF), polyethylsulfone (PES),  
10 and polyimide. Obviously, the organic polymer material  
which is applicable to the polarization hologram 90 is not  
limited to these examples.

At a start of the production process, the  
birefringence layer 93 is fixed onto the surface of the  
15 transparent substrate 92 by the adhesion layer 95 as shown  
in FIG. 16A. A photoresist 96 is attached to the  
birefringence layer 93 through spin coating as shown in  
FIG. 16B. The photoresist 96 is covered with a photomask  
having a periodic grating pattern, and it is exposed to UV  
20 light. After development, a photoresist mask 96A in the  
periodic grating pattern is formed on the birefringence  
layer 93 as shown in FIG. 16C.

A known dry etching, such as sputter  
etching, is performed, and unmasked portions of the  
25 birefringence layer 93 are removed by etching as shown in

1     FIG. 16D. The photoresist mask 96A is removed by  
dissolving with a suitable solvent (or gas), and the  
birefringence layer 93 is provided on the transparent  
substrate 92 in the periodic grating pattern as shown in  
5     FIG. 16E. Finally, as shown in FIG. 16F, an isotropic  
resin is applied to the birefringence layer 93 through  
spin coating such that the periodic grating pattern of the  
birefringence layer 93 is enclosed in the isotropic resin,  
and the isotropic resin is solidified by UV light or heat  
10    so that the isotropic overcoat layer 94 is formed.

          In the above-described process of  
production of the polarization hologram 90, the  
lithographic method and the spin coating are used to form  
the birefringence layer 93 and the isotropic overcoat  
15    layer 94. The polarization hologram 90 does not require a  
time-consuming manufacturing process and a high cost, as  
in the case of the conventional polarization hologram  
having a  $\text{LiNbO}_3$  birefringence layer. A large-quantity,  
low-cost production of the polarization hologram 90 is  
20    possible. The polarization hologram 90 is inexpensive and  
can be provided with a small size.

          FIG. 17 shows a modified polarization  
hologram 90A in the optical pickup apparatus of the  
present invention.

25           In the previous embodiments of FIG. 11 and

1     FIG. 14, the unmasked portions of the birefringence layer  
93 are completely removed (up to the substrate 92) by  
etching as shown in FIG. 16D. This configuration may be  
modified according to the present invention. In the  
5     polarization hologram 90A, the unmasked portions of the  
birefringence layer 93 are partially removed by etching  
such that the depth of the removed portions is equal to  
the depth  $h$  of the periodic grating pattern of the layer  
93, as shown in FIG. 17.

10             FIG. 18 shows another modified polarization  
hologram 90B in the optical pickup apparatus of the  
present invention.

           In the previous embodiments of FIG. 11 and  
FIG. 14, the periodic grating pattern of the birefringence  
15     layer 93 is enclosed in the isotropic overcoat layer 94.  
This configuration may be modified according to the  
present invention. In the polarization hologram 90B, the  
periodic grating pattern of the layer 93 is enclosed in an  
isotropic resin adhesion layer 97, and a transparent  
20     substrate 98, such as of a glass or resin material, is  
fixed to the birefringence layer 93 by using the isotropic  
resin adhesion layer 97 as shown in FIG. 18.

           The process of production of the  
polarization hologram 90 according to the present  
25     invention is not limited to the embodiment of FIG. 16A

1 through FIG. 16F. In an alternative embodiment, before  
fixing the birefringence layer 93 to the transparent  
substrate 92 by the adhesion layer 95, the periodic  
grating pattern of the birefringence layer 93 may be  
5 formed first. After the formation of the birefringence  
layer 93, it may be fixed to the transparent substrate 92  
by the adhesion layer 95.

FIG. 19A through FIG. 19H show another  
process of production of the polarization hologram in the  
10 optical pickup apparatus of the present invention.

In the present embodiment, the steps of  
FIG. 19A through FIG. 19C are essentially the same as the  
steps of FIG. 16A through FIG. 16C, and a description  
thereof will be omitted.

15 After the photoresist mask 96A in the  
periodic grating pattern is formed on the birefringence  
layer 93 as shown in FIG. 19C, a metallic layer 99, such  
as of aluminum or chromium, is deposited on the  
photoresist 96A and on the birefringence layer 93 by  
20 evaporation or sputtering as shown in FIG. 19D. The  
photoresist mask 96A is removed by dissolving with a  
suitable solvent (or gas), and a metallic grating pattern  
99A remains on the birefringence layer 93 as shown in FIG.  
19E.

25 A known dry etching is performed, and

1 unmasked portions of the birefringence layer 93 are  
removed by etching, and the metallic grating pattern 99A  
and the birefringence layer 93 remain as shown in FIG.  
19F. The metallic grating pattern 99A is removed by  
5 dissolving with a suitable solvent (e.g., sulfuric acid),  
and the birefringence layer 93 is provided on the  
transparent substrate 92 in the periodic grating pattern  
as shown in FIG. 19G. Finally, as shown in FIG. 19H, an  
isotropic resin is applied to the birefringence layer 93  
10 through spin coating such that the periodic grating  
pattern of the birefringence layer 93 is enclosed in the  
isotropic resin, and the isotropic resin is solidified by  
UV light or heat so that the isotropic overcoat layer 94  
is formed.

15 The process of production of the  
polarization hologram 90 according to the present  
invention is not limited to the embodiment of FIG. 19A  
through FIG. 19H. In an alternative embodiment, the  
metallic layer 99, such as of aluminum or chromium, is  
20 first deposited on the birefringence layer 93 shown in  
FIG. 19A, and the steps of FIG. 19B through FIG. 19F are  
performed with the metallic layer 99.

In the embodiment of FIG. 19A through FIG.  
19H, the unmasked portions of the birefringence layer 93  
25 are completely removed (up to the substrate 92) by

1 etching. This configuration may be modified according to  
the present invention. In an alternative embodiment, the  
unmasked portions of the birefringence layer 93 are  
partially removed by etching such that the depth of the  
5 removed portions is equal to the depth  $h$  of the periodic  
grating pattern of the layer 93, as shown in FIG. 17.

In the embodiment of FIG. 19A through FIG.  
19H, the periodic grating pattern of the birefringence  
layer 93 is enclosed in the isotropic overcoat layer 94.  
10 This configuration may be modified according to the  
present invention. In an alternative embodiment, the  
periodic grating pattern of the layer 93 is enclosed in  
the isotropic resin adhesion layer 97, and the transparent  
substrate 98, such as of a glass or resin material, is  
15 fixed to the birefringence layer 93 by using the isotropic  
resin adhesion layer 97 as shown in FIG. 18.

FIG. 20A, FIG. 20B and FIG. 20C show a  
process of preparation of a polyimide film for the  
birefringence layer 93 of the polarization hologram 90.

20 At a start of the process of preparation of  
the polyimide film, a polyamide acid solution  
(with a dimethylalcohol solvent) is applied to a flat  
surface of a glass substrate (or a silicon substrate)  
through spin coating as shown in FIG. 20A. After drying,  
25 the resulting polyamide acid layer has a given thickness.



1 The polyamide acid layer is removed from the glass  
substrate as shown in FIG. 20B. After removal, the  
polyamide acid layer is placed in a high-temperature  
condition (e.g., 350°C) and the polyamide acid layer is  
5 stretched in one direction. The polyimide film is  
produced from the polyamide acid layer by heating and  
stretching. The stretching creates the difference between  
the refractive indexes of the polyimide birefringence  
layer for the two orthogonal polarizing directions of the  
10 incident beam. The difference between the refractive  
indexes varies depending on the temperature and the  
stretching force. In a typical polyimide birefringence  
layer, the refractive index for the direction of  
stretching is 1.62, the refractive index for the direction  
15 perpendicular to the direction of stretching is 1.49, and  
the refractive index difference  $dn$  is about 0.13.

As described above with reference to FIG.  
14, the birefringence layer 93A is formed by heating and  
stretching of the polyimide film as shown in FIG. 20C.

20 The present invention is not limited to the  
above-described embodiments, and variations and  
modifications may be made without departing from the scope  
of the present invention.

Further, the present invention is based on  
25 Japanese priority application No.10-242135, filed on

1     August 27, 1998, and Japanese priority application No.10-  
255734, filed on September 9, 1999, the entire contents of  
which are hereby incorporated by reference.

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